

Substitute for form 1449A/PTO

**INFORMATION
DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number 09/975,168

Filing Date October 11, 2001

First Named Inventor Thomas L. Weaver et al.

Group Art Unit 2633

Examiner Name To be assigned

Attorney Docket Number 38190/239642

Sheet 1 of 2

U. S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant Of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear |
|--------------------|-----------------------|----------------------|-----------------------------------|---|--|---|
| | | Number | Kind Code ² (if known) | | | |
| AC | 1 | 5,278,687 | | Jannson et al. | 01-11-1994 | |
| AC | 2 | 5,880,864 | | Williams et al. | 03-09-1999 | |
| AC | 3 | US 2001/0004290 | A1 | Lee et al. | 06-21-2001 | |
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FOREIGN PATENT DOCUMENTS

| Examiner Initials | Cite No. | Foreign Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
|-------------------|----------|-------------------------|---------------------|---|--|---|----------------|
| | | Office ³ | Number ⁴ | Kind Code (if known) ⁵ | | | |
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| Examiner Signature |  | Date Considered | 9/3/04 |
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number.

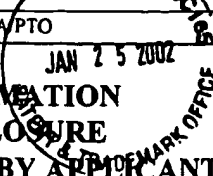
² See attached Kinds of U.S. Patent Documents.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under: WIPO Standard ST.16 if possible.

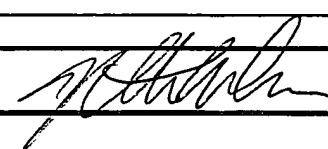
⁶ Applicant is to place an "X" here if English language Translation is attached.



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| | | Group Art Unit | 2633 |
| | | Examiner Name | To be assigned |
| Sheet | 2 of 2 | Attorney Docket Number | 38190/239642 |

NON PATENT LITERATURE DOCUMENTS

| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T |
|-------------------|----------|---|---|
| MC | 5 | KRISHNAN SUNDARESAN; <i>IP over DWDM: Principles and Practices</i> ; Pages 1 - 7 < http://www.acsu.buffalo.edu/~ks48/ipoverdwdm.pdf > | |
| AC | 6 | <i>Lensed Wavelength Division Multiplexers Singlemode and Multimode</i> ; AMP Brand; Pages 1 - 3; available at < http://catalog.tycoelectronics.com/TE/bin/TE.Menu?M=Main > (visited 10/03/2001). | |
| MC | 7 | <i>Wavelength Division Mux/Demux Model 9980</i> ; Pages 1-2 < http://www.optelecom.com/Products/productaccessories/9980.pdf >. | |
| MC | 8 | WAVESPLITTER™ TECHNOLOGIES, INC.; <i>WaveSelector™ Single Channel Add/Drop Filter</i> ; (revision Mar. 2001) available at < http://www.wavesplitter.com >. | |
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